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| EXAMINER |
|---|
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| 2181 | |

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Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

| | Application No. | Applicant(s) |
| **Office Action Summary** | 10/692,800 | MIYAKE ET AL. |
| | Examiner | Art Unit | |
| | Benjamin P. Geib | 2181 | |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE _3_ MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

1)☒ Responsive to communication(s) filed on _17 July 2006_.

2a)☒ This action is **FINAL**.    2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

4)☒ Claim(s) _14-33_ is/are pending in the application.

   4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) _14-33_ is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

## Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

   Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

   Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

12)☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   a)☐ All  b)☐ Some * c)☒ None of:

   1.☒ Certified copies of the priority documents have been received.

   2.☐ Certified copies of the priority documents have been received in Application No. _____.

   3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

   * See the attached detailed Office action for a list of the certified copies not received.

FRITZ FLEMING
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100
9/28/2006

## Attachment(s)

1)☐ Notice of References Cited (PTO-892)

2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3)☐ Information Disclosure Statement(s) (PTO/SB/08)
   Paper No(s)/Mail Date _____.

4)☐ Interview Summary (PTO-413)
   Paper No(s)/Mail Date. _____ .

5)☐ Notice of Informal Patent Application

6)☐ Other: _____.

## DETAILED ACTION

1.      Claims 14-33 have been examined.

2.      It is hereby acknowledged that the following papers have been received and

placed of record in the file: Amendment as received on 07/17/2006.


### *Priority*

3.      On page 10 of the remarks, the Applicant notes that the foreign priority

documents Japanese patent application 11-341077, filed on November 30, 1999, and

Japanese patent application 11-309598, filed on October 29, 1999, were submitted with

U.S. Patent Application Serial No. 09/678,732, now U.S. Patent No. 6,681,280, and that

the current application is a divisional application of application serial no. 09/678,732.

The Examiner has been unable to locate the above-mentioned foreign priority

documents within the electronic file of application serial no. 09/678,732. The Examiner

will search the paper file of application serial no. 09/678,732 for the above-mentioned

foreign priority documents and, depending upon this search, the Examiner may or may

not request the Applicant to re-submit the foreign priority documents in order for them to

be placed on record within the current application as required by 35 U.S.C. 119(b).


### *Withdrawn Rejections*

3.      Applicant, via amendment, has overcome the 35 U.S.C. § 101 rejections

regarding double patenting set forth in the previous Office Action. Consequently, these

rejections have been withdrawn by the examiner.

4.      Applicant, via amendment, has overcome the 35 U.S.C. § 112, second

paragraph, rejections set forth in the previous Office Action.  Consequently, these

rejections have been withdrawn by the examiner.


### *Maintained Claim Rejections - 35 USC § 102*

5.      Applicant has failed to overcome the 35 U.S.C. 102 rejections set forth in the

previous Office Action.  Therefore, these rejections are respectfully maintained by the

examiner and copied below for applicant's convenience.

6.      The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

> A person shall be entitled to a patent unless –
>
> (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
>
> (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7.      Claims 14, 15-20, and 33 are rejected under 35 U.S.C. 102(b) as being

anticipated by Krauskopf, U.S. Patent No. 5,165,027.

8.      Referring to claim 14, Krauskopf has taught an interrupt control apparatus

applied to a data processing system having a function of executing a conditional

instruction *(breakpoint instruction)*, said apparatus comprising:

        a break detection section *(32-bit register and comparator; Fig. 2, component 34)*

for detecting a breakpoint set at an arbitrary position of an instruction sequence *[The*

*comparator detects a breakpoint by comparing the address (Fig. 2; component 19) with*

*the addresses stored in the 32-bit debug register; See column 3, lines 43-63];*

a condition determination section *(enable logic circuit and control register; Fig. 2,*

*components 36 and 32)* for determining whether or not a condition of said conditional

instruction is satisfied *[The enable logic circuit determines whether the breakpoint is*

*enabled (i.e. a condition of the breakpoint is satisfied) using the information store in the*

*control register; See column 4, lines 15-39];* and

a control section *(AND gate; Fig. 2, component 40)* for controlling a break-

interrupt on the basis of a breakpoint detection result from said break detection section

and a determination result from said condition determination section *[The AND gate*

*ANDs the hit signal and enable clock signal to control the break-interrupt (i.e.*

*breakpoint/interrupt signal; See Fig. 2) column 5, lines 1-8].*

9.      Referring to claim 15, <u>Krauskopf</u> has taught an interrupt control apparatus

applied to a data processing system having a function of executing a conditional

instruction *(breakpoint instruction)*, said apparatus comprising:

an instruction break detection section *(32-bit register and comparator; Fig. 2,*

*component 34)* for detecting an instruction break in accordance with whether or not an

instruction corresponding to an instruction address representing a breakpoint, which is

set in a register, is read out, and outputting a detection signal *(hit signal; Fig. 2,*

*component 46)* representing a detection result *[The comparator detects a breakpoint by*

*comparing the address (Fig. 2; component 19) with the addresses stored in the 32-bit*

*debug register; See column 3, lines 43-63];*

a condition determination section *(enable logic circuit and control register; Fig. 2, components 36 and 32)* for determining whether or not a condition of the conditional instruction is satisfied, and outputting a determination signal *(enable clock; Fig. 2, component 44)* representing a determination result *[The enable logic circuit determines whether the breakpoint is enabled (i.e. a condition of the breakpoint is satisfied) using the information store in the control register; See column 4, lines 15-39]*; and

a logical operation section *(AND gate; Fig. 2, component 40)* for performing AND operation to said detection signal output *(hit signal; Fig. 2, component 46)* from said instruction break detection section and said determination signal output *(enable clock; Fig. 2, component 44)* from said condition determination section, and sending a break-interrupt notification *(breakpoint/interrupt signal; See Fig. 2)* in accordance with the AND operation result *[The AND gate ANDs the hit signal and enable clock signal to control the break-interrupt (i.e. breakpoint/interrupt signal; See Fig. 2) column 5, lines 1-8]*.

10.     Referring to claim 16, <u>Krauskopf</u> has taught an apparatus according to claim 15, wherein

said condition determination section *(enable logic circuit and control register)* is designed to determine whether or not an instruction word is said conditional instruction *(The enable logic circuit receives bus control signals which allow it to determine whether or not an instruction word is said conditional instruction (i.e. breakpoint); column 4, lines 30-34)*, if said instruction word is said conditional instruction, determine whether or not the condition is satisfied, and output the determination signal representing the result *[The enable logic circuit determines whether the breakpoint is enabled (i.e. the condition*

*is satisfied) using the information store in the control register; See column 4, lines 15-*

*39],* and

when an instruction word corresponding to the instruction address representing

said breakpoint is an unconditional instruction or a conditional instruction having an

unsatisfied condition, said logical operation section *(AND gate)* does not send a break-

interrupt notification, and when said instruction word is the conditional instruction having

a satisfied condition, said logical operation section sends said break-interrupt

notification *[When the breakpoint has an unsatisfied condition the enable clock signal*

*will not be set (column 4, lines 30-39) and, therefore, the AND gate will not send a*

*break-interrupt notification. The Examiner notes that the claim language necessitates*

*only that the logical operation section does not send a break-interrupt notification when*

*either the breakpoint is unconditional or conditional having an unsatisfied condition.*

*When the breakpoint has a satisfied condition the enable clock signal will be set*

*(column 4, lines 30-39). Since the hit and match signals will also be set, the AND gate*

*will send a break-interrupt notification].*

11. Referring to claim 17, <u>Krauskopf</u> has taught an apparatus according to claim 15,

wherein

said apparatus further comprises a mode setting section *(control register; Fig. 2,*

*component 32)* for setting one of a first mode *(breakpoint address represents a program*

*reference)* in which said break-interrupt is generated when a generation condition of

said instruction break is satisfied, and the condition of said conditional instruction is

satisfied, and a second mode *(breakpoint address represents a data reference)* in which

said break-interrupt is generated when said generation condition of said instruction

break is satisfied *[In both first and second modes a break-interrupt is generated when*

*both the condition is satisfied (i.e. the clock enable is set) and the generation condition*

*(i.e. the hit and match signals are set)]*,

said condition determination section *(enable logic circuit and control register)* is

designed to determine whether or not an instruction word is said conditional instruction

*(The enable logic circuit receives bus control signals which allow it to determine whether*

*or not an instruction word is said conditional instruction (i.e. breakpoint); column 4, lines*

*30-34)*, if said instruction word is said conditional instruction, determine whether or not

the condition is satisfied, and output the determination signal representing the result

*[The enable logic circuit determines whether the breakpoint is enabled (i.e. the condition*

*is satisfied) using the information store in the control register; See column 4, lines 15-*

*39]*, and

in said first mode, when an instruction word corresponding to the instruction

address representing said breakpoint is an unconditional instruction or a conditional

instruction having an unsatisfied condition, said logical operation section *(AND gate)*

does not sends a break-interrupt notification, and when said instruction word is the

conditional instruction having a satisfied condition, said logical operation section sends

a break-interrupt notification, and in said second mode, when said instruction word is an

instruction word corresponding to the instruction address representing said breakpoint,

said logical operation section *(AND gate)* sends said break-interrupt notification *(In both*

*the first and second modes the operation of the logical operation section is the same;*

*See arguments for last section of claim 16 regarding its operation).*

12.    Referring to claim 18, <u>Krauskopf</u> has taught an apparatus according to claim 15,
wherein

said condition determination section *(enable logic circuit and control register)* is
designed to determine whether or not an instruction word is said conditional instruction
*(The enable logic circuit receives bus control signals which allow it to determine whether
or not an instruction word is said conditional instruction (i.e. breakpoint); column 4, lines
30-34)*, if said instruction word is said conditional instruction, determine whether or not
the condition is satisfied, and output the determination signal representing the result
*[The enable logic circuit determines whether the breakpoint is enabled (i.e. the condition
is satisfied) using the information store in the control register;  See column 4, lines 15-
39]*, and

when an instruction word corresponding to the instruction address representing
said breakpoint is a conditional instruction having an unsatisfied condition, said logical
operation section does not send a break-interrupt notification *[When the breakpoint has
an unsatisfied condition the enable clock signal will not be set (column 4, lines 30-39)
and, therefore, the AND gate will not send a break-interrupt notification]*, and when said
instruction word is an unconditional instruction or the conditional instruction having a
satisfied condition, said logical operation section sends said break-interrupt notification
*[When the breakpoint has a satisfied condition the enable clock signal will be set
(column 4, lines 30-39).  Since the hit and match signals will also be set, the AND gate*

*will send a break-interrupt notification. The Examiner notes that the claim language*

*necessitates only that the logical operation section sends a break-interrupt notification*

*when either the breakpoint is unconditional or conditional having a satisfied condition].*

13.     Referring to claim 19, <u>Krauskopf</u> has taught an apparatus according to claim 15,

wherein

said apparatus further comprises a mode setting section *(control register; Fig. 2,*

*component 32)* for setting one of a first mode *(breakpoint address represents a program*

*reference)* in which said break-interrupt is generated when a generation condition of

said instruction break is satisfied, and the condition of said conditional instruction is

satisfied, and a second mode *(breakpoint address represents a data reference)* in which

said break-interrupt is generating when said generation condition of said instruction

break is satisfied *[In both first and second modes a break-interrupt is generated when*

*both the condition is satisfied (i.e. the clock enable is set) and the generation condition*

*(i.e. the hit and match signals are set)],*

said condition determination section *(enable logic circuit and control register)* is

designed to determine whether or not an instruction word is said conditional instruction

*(The enable logic circuit receives bus control signals which allow it to determine whether*

*or not an instruction word is said conditional instruction (i.e. breakpoint); column 4, lines*

*30-34),* if said instruction word is said conditional instruction, determine whether or not

the condition is satisfied, and output the determination signal representing the result

*[The enable logic circuit determines whether the breakpoint is enabled (i.e. the condition*

*is satisfied) using the information store in the control register;  See column 4, lines 15-39]*, and

in said first mode, when an instruction word corresponding to the instruction address representing said breakpoint is a conditional instruction having an unsatisfied condition, said logical operation section does not send a break-interrupt notification, and when said instruction word is an unconditional instruction or the conditional instruction having a satisfied condition, said logical operation section sends a break-interrupt notification, and in said second mode, when said instruction word is an instruction word corresponding to the instruction address representing said breakpoint, said logical operation section sends said break-interrupt notification *(In both the first and second modes the operation of the logical operation section is the same;  See arguments for last section of claim 18 regarding its operation)*.

14.     Referring to claim 20, <u>Krauskopf</u> has taught an apparatus according to claim 15, wherein said data processing system comprises one of a scalar processor for performing one unit of processing in accordance with one instruction *(See column 3, lines 9-24 and Fig. 1)*, a long instruction word processor for parallelly executing short instructions forming a long instruction word, and a parallel processor for parallelly executing at least one basic instruction forming a variable-length instruction word.

15.     Referring to claim 33, <u>Krauskopf</u> has taught an interrupt control method for controlling a break-interrupt in a data processing system having a function of executing a conditional instruction *(breakpoint instruction)*, said method comprising the steps of:

detecting a breakpoint set at an arbitrary position of an instruction sequence *[The*

*comparator (Fig. 2, component 34) detects a breakpoint by comparing the address (Fig.*

*2; component 19) with the addresses stored in the 32-bit debug register (Fig. 2,*

*component 34); See column 3, lines 43-63]*;

determining whether or not a condition of said conditional instruction is satisfied

*[The enable logic circuit determines whether the breakpoint is enabled (i.e. a condition*

*of the breakpoint is satisfied) using the information store in the control register;  See*

*column 4, lines 15-39]*; and

controlling the break-interrupt on the basis of a detection result of said breakpoint

and a determination result of said conditional instruction *[The AND gate ANDs the hit*

*signal and enable clock signal to control the break-interrupt (i.e. breakpoint/interrupt*

*signal; See Fig. 2) column 5, lines 1-8]*.

16.　　Claims 21-32 are rejected under 35 U.S.C. 102(e) as being anticipated by

<u>Alverson</u> et al., U.S. Patent No. 6,480,818 (Herein referred to as <u>Alverson</u>).

17.　　Referring to claim 21, <u>Alverson</u> has taught an interrupt control apparatus applied　·

to a data processing system having a function of executing a conditional instruction,

said apparatus comprising:

an instruction break detection section *(target thread execution subroutine; Fig.*

*11, component 1100)* for detecting an instruction break in accordance with whether or

not an instruction corresponding to an instruction address representing a breakpoint,

which is set in a register, is read out *[The break instruction, which corresponds to an*

*address and is set in a register (See Fig. 4B), is inherently read out for instruction*

*execution]*, and sending a break-interrupt notification in accordance with a detection

result *[The target thread execution subroutine detects an instruction break (i.e.*

*breakpoint; See Fig. 11, component 1110) and notifies the breakpoint handler*

*subroutine (i.e. sends a break-interrupt notification); See column 21, lines 15-39 and*

*Fig. 11]*; and

a control section *(breakpoint handler subroutine; Fig. 12, component 1125)* for, in

an interrupt handler activated in accordance with said break-interrupt notification

supplied from said instruction break detection section *(target thread execution*

*subroutine)*, determining whether or not a condition of said conditional instruction is

satisfied, and controlling break-interrupt processing in accordance with a determination

result *[The breakpoint handler subroutine determines if a condition of the conditional*

*instruction is satisfied (and, therefore, the breakpoint is valid) and notifies the nub (i.e.*

*controls break-interrupt processing) if the condition is satisfied; See column 21, lines 51-*

*66 ]*.

18.     Referring to claim 22, <u>Alverson</u> has taught an apparatus according to claim 21,

wherein said control section determines, in said interrupt handler, whether or not an

instruction word as an instruction break target is said conditional instruction *(The*

*breakpoint handler subroutine determines if whether or not the breakpoint instruction is*

*conditional; See Fig. 12, component 1210)*, when said instruction word is said

conditional instruction, determines whether or not a condition of said conditional

instruction is satisfied *(See Fig. 12, component 1225)*, when said instruction word as

said instruction break target is an unconditional instruction or a conditional instruction

having an unsatisfied condition, returns from said interrupt handler *(The breakpoint*

*handler subroutine always eventually returns; See last step of Fig. 12)*, and when said

instruction word as said instruction break target is a conditional instruction having a

satisfied condition, performs said break-interrupt processing *[When the condition is*

*satisfied (i.e. true) the breakpoint handler subroutine notifies the nub (See Fig. 12,*

*component 1240), which performs break-interrupt processing; See column 22, lines 45-*

*65]*.

19.     Referring to claim 23, <u>Alverson</u> has taught an apparatus according to claim 21,

wherein

said apparatus further comprises a mode setting section *(nub thread execution*

*routine; Fig. 5, component 500)* for setting one of a first mode *(mode when breakpoint*

*set is a conditional breakpoint)* in which said break-interrupt is generated when a

generation condition of said instruction break is satisfied, and the condition of said

conditional instruction is satisfied, and a second mode *(mode when breakpoint set is an*

*unconditional breakpoint)* in which said break-interrupt is generated when said

generation condition of said instruction break is satisfied *[The nub thread execution*

*routine sets a breakpoint mode by recording information indicating whether the inserted*

*breakpoint is conditional or not; column 15, lines 43-63]*, and

in said first mode, said control section determines, in said interrupt handler, whether or not an instruction word as an instruction break target is said conditional instruction *(See Fig. 12, component 1210)*, when said instruction word is said conditional instruction, determines whether or not a condition of said conditional instruction is satisfied *(See Fig. 12, component 1225)*, when said instruction word as said instruction break target is an unconditional instruction or a conditional instruction having an unsatisfied condition, returns from said interrupt handler *(The breakpoint handler subroutine always eventually returns; See last step of Fig. 12)*, and when said instruction word as said instruction break target is a conditional instruction having a satisfied condition, performs said break-interrupt processing *[When the condition is satisfied (i.e. true) the breakpoint handler subroutine notifies the nub (See Fig. 12, component 1240), which performs break-interrupt processing; See column 22, lines 45-65]*, and

in said second mode, said control section performs said break-interrupt processing when receiving said break-interrupt notification *[In the second mode the breakpoint set is unconditional and, therefore, the breakpoint handler subroutine notifies the nub (See Fig. 12, component 1240), which performs break-interrupt processing; See column 22, lines 45-65]*.

20.    Referring to claim 24, <u>Alverson</u> has taught an apparatus according to claim 21, wherein said control section determines, in said interrupt handler, whether or not an instruction word as an instruction break target is said conditional instruction *(The breakpoint handler subroutine determines if whether or not the breakpoint instruction is*

*conditional; See Fig. 12, component 1210)*, when said instruction word is said

conditional instruction, determines whether a condition of said conditional instruction is

satisfied *(See Fig. 12, component 1225)*, when said instruction word as said instruction

break target is a conditional instruction having an unsatisfied condition, returns from

said interrupt handler *(The breakpoint handler subroutine always eventually returns;*

*See last step of Fig. 12)*, and when said instruction word as said instruction break target

is an unconditional instruction or a conditional instruction having a satisfied condition,

performs said break-interrupt processing *[When the breakpoint is unconditional or*

*conditional and the condition is satisfied (i.e. true) the breakpoint handler subroutine*

*notifies the nub (See Fig. 12, component 1240), which performs break-interrupt*

*processing; See column 22, lines 45-65]*.

21.     Referring to claim 25, <u>Alverson</u> has taught an apparatus according to claim 21,

wherein

        said apparatus further comprises a mode setting section *(nub thread execution*

*routine; Fig. 5, component 500)* for setting one of a first mode *(mode when breakpoint*

*set is a conditional breakpoint)* in which said break-interrupt is generated when a

generation condition of said instruction break is satisfied, and the condition of said

conditional instruction is satisfied, and a second mode *(mode when breakpoint set is an*

*unconditional breakpoint)* in which said break-interrupt is generated when said

generation condition of said instruction break is satisfied *[The nub thread execution*

*routine sets a breakpoint mode by recording information indicating whether the inserted*

*breakpoint is  conditional or not; column 15, lines 43-63]*, and

in said first mode, said control section determines, in said interrupt handler,

whether or not an instruction word as an instruction break target is said conditional

instruction *(See Fig. 12, component 1210)*, when said instruction word is said

conditional instruction, determines whether or not a condition of said conditional

instruction is satisfied *(See Fig. 12, component 1225)*, when said instruction word as

said instruction break target is a conditional instruction having an unsatisfied condition,

returns from said interrupt handler *(The breakpoint handler subroutine always*

*eventually returns; See last step of Fig. 12)*, and when said instruction word as said

instruction break target is an unconditional instruction or a conditional instruction having

a satisfied condition, performs said break-interrupt processing *[When the condition is*

*satisfied (i.e. true) or breakpoint is uncoditional the breakpoint handler subroutine*

*notifies the nub (See Fig. 12, component 1240), which performs break-interrupt*

*processing; See column 22, lines 45-65]*, and

in said second mode, said control section performs said break-interrupt

processing when receiving said break-interrupt notification *[In the second mode the*

*breakpoint set is unconditional and, therefore, the breakpoint handler subroutine notifies*

*the nub (See Fig. 12, component 1240), which performs break-interrupt processing; See*

*column 22, lines 45-65]*.

22.    Referring to claim 26, <u>Alverson</u> has taught an apparatus according to claim 21,

wherein said data processing system comprises one of a scalar processor for

performing one unit of processing in accordance with one instruction *(See Fig. 3,*

*component 101 and column 1, lines 20-40)*, a long instruction word processor for

parallelly executing short instructions forming a long instruction word, and a parallel

processor for parallelly executing at least one basic instruction forming a variable-length

instruction word.

23.     Referring to claim 27, Alverson has taught an interrupt control apparatus applied

to a data processing system having a function of executing a conditional instruction,

said apparatus comprising:

a software break detection section *(target thread execution subroutine; Fig. 11,*

*component 1100)* for detecting a software break in accordance with whether or not a

breakpoint instruction replaced at an arbitrary position of an instruction sequence is

executed *(See Fig. 4)*, and sending a break-interrupt notification in accordance with a

detection result *[The target thread execution subroutine detects a software break (i.e.*

*breakpoint; See Fig. 11, component 1110) and notifies the breakpoint handler*

*subroutine (i.e. sends a break-interrupt notification); See column 21, lines 15-39 and*

*Fig. 11]*; and

a control section *(breakpoint handler subroutine; Fig. 12, component 1125)* for, in

an interrupt handler activated in accordance with said break-interrupt notification

supplied from said software break detection section *(target thread execution*

*subroutine)*, determining whether or not a condition of said conditional instruction is

satisfied, and controlling break-interrupt processing in accordance with a determination

result *[The breakpoint handler subroutine determines if a condition of the conditional*

*instruction is satisfied (and, therefore, the breakpoint is valid) and notifies the nub (i.e.*

controls break-interrupt processing) if the condition is satisfied; See column 21, lines 51-66 ].

24.     Referring to claim 28, <u>Alverson</u> has taught an apparatus according to claim 27, wherein said control section determines, in said interrupt handler, whether or not an instruction word as a software break target is said conditional instruction *(The breakpoint handler subroutine determines if whether or not the breakpoint instruction is conditional; See Fig. 12, component 1210)*, when said instruction word is said conditional instruction, determines whether or not a condition of said conditional instruction is satisfied *(See Fig. 12, component 1225)*, when said instruction word as said software break target is an unconditional instruction or a conditional instruction having an unsatisfied condition, returns from said interrupt handler *(The breakpoint handler subroutine always eventually returns; See last step of Fig. 12)*, and when said instruction word as said software break target is a conditional instruction having a satisfied condition, performs said break-interrupt processing *[When the condition is satisfied (i.e. true) the breakpoint handler subroutine notifies the nub (See Fig. 12, · component 1240), which performs break-interrupt processing; See column 22, lines 45-65]*.

        Referring to claim 29, given the similarities between claim 23 and claim 29 the arguments as stated for the rejection of claim 23 also apply to claim 29.

25.     Referring to claim 30, given the similarities between claim 24 and claim 30 the arguments as stated for the rejection of claim 24 also apply to claim 30.

Referring to claim 31, given the similarities between claim 25 and claim 31 the arguments as stated for the rejection of claim 25 also apply to claim 31.

Referring to claim 32, given the similarities between claim 26 and claim 32 the arguments as stated for the rejection of claim 26 also apply to claim 32.

## Response to Arguments

26.    Applicants arguments filed on July 17, 2006, have been fully considered but they are not found persuasive.

27.    Applicant argues the novelty/rejection of claims 14-20 and 33 on pages 11-12 of the remarks, in substance that:

> "The Applicants respectfully submit that Krauskopf does not disclose or suggest at least these features of claim 14 ["controlling a break-interrupt on the basis of a breakpoint detection result from said condition determination section"]" (3rd paragraph on page 11)

These arguments are not found persuasive for the following reasons:

The output of the AND gate (Fig. 2, component 4) of Krauskopf "provides the breakpoint signal which is used to interrupt the operation of the microprocessor". Therefore, the AND gate controls a break-interrupt.    Since the inputs to the AND gate include the hit signal from the register/comparator (i.e. a breakpoint detection result from said break detection section) and the enable clock from the logic circuit (i.e. a determination result from said condition determination section), the AND gate controls the break-interrupt on the basis of these inputs.  Therefore, Krauskopf has taught controlling a break-interrupt on the basis of a breakpoint detection result from said condition determination section.

Regarding Applicant's argument that Krauskopf does not provide any information regarding a conditional instruction, the Examiner notes that instructions within the system of Krauskopf are conditional instructions since they are breakpoint instructions conditional upon whether the stored breakpoint address is enabled for program access (column 4, lines 15-39). The Examiner asserts that Applicant appears to be reading the claim too narrowly. The Examiner is to interpret the claim as broadly as possible, as long as it's reasonable. If applicant intends for the claimed conditional instruction to be read as a conditional instruction that is conditional upon a specific type of condition, then applicant should claim the instruction as such.

28.    Applicant argues the novelty/rejection of claims 21-32 on pages 13-14 of the remarks, in substance that:

> "The Applicants respectfully submit that Alverson does not disclose or suggest at least these features of claim 21 ["a control section for, in an interrupt handler activated in accordance with said break-interrupt notification supplied from said instruction break detection section, determining whether or not a condition of said conditional instruction is satisfied, and controlling break-interrupt processing in accordance with a determination result" (2nd paragraph on page 13)

These arguments are not found persuasive for the following reasons:

Regarding Applicant's argument that Alverson does not determine whether a condition of a conditional instruction is satisfied, the Examiner notes that the Target Thread Breakpoint Handler subroutine 1125 evaluates a condition of a conditional instruction to determine if the condition is satisfied (See Fig. 12; column 22, lines 3-28). As can be seen in Fig. 12 at component 1225, a determination is made as to whether a condition of a conditional instruction is satisfied. Therefore, Alverson has taught a control section for, in an interrupt handler activated in accordance with said break-

interrupt notification supplied from said instruction break detection section, determining

whether or not a condition of said conditional instruction is satisfied, and controlling

break-interrupt processing in accordance with a determination result.

### *Conclusion*

29.   **THIS ACTION IS MADE FINAL.**  Applicant is reminded of the extension of time

policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action.  In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action.  In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the mailing date of this final action.

30.   The following is text cited from 37 CFR 1.111(c): In amending in reply to a

rejection of claims in an application or patent under reexamination, the applicant or

patent owner must clearly point out the patentable novelty which he or she thinks the

claims present in view of the state of the art disclosed by the references cited or the

objections made.  The applicant or patent owner must also show how the amendments
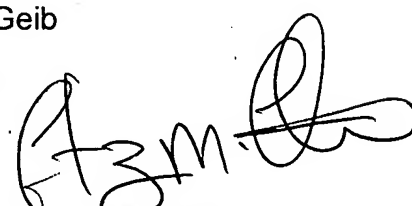
avoid such references or objections.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Benjamin P. Geib whose telephone number is (571)

272-8628. The examiner can normally be reached on Mon-Fri 8:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Fritz Fleming can be reached on (571) 272-4145. The fax phone number for

the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Benjamin P Geib
Examiner
Art Unit 2181

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